

In re the Application of

Hisae YOSHIZAWA et al.

Application No.: New US Application

Filed: August 28, 2003

Docket No.: 116946

For: CARBON NANOTUBE DISPERSION LIQUID AND METHOD FOR PRODUCING  
THE SAME AND POLYMER COMPOSITE AND METHOD FOR PRODUCING  
THE SAME

INFORMATION DISCLOSURE STATEMENT

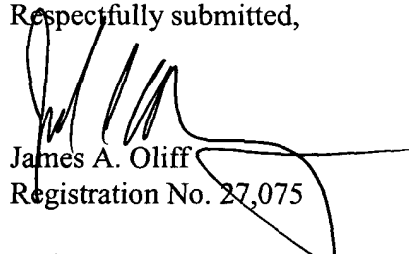
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. Relevance of the non-English language references is discussed in the present specification.
- ☒ 3. English-language Abstracts of the non-English language references Nos. 1-5 are attached hereto.
- ☒ 4. Computer-generated English translations of the following Japanese Patent Publication have been obtained from the website of the Japanese Patent Office (<http://www.jpo.go.jp>), and are attached, but have not been reviewed for accuracy. See References 1-5.

Respectfully submitted,

  
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JAO:JSA/tmw

Date: August 28, 2003

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DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce <b>PATENT &amp; TRADEMARK OFFICE</b>		ATTY DOCKET NO. 116946		APPLICATION NO. New US Application	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANTS Hisae YOSHIZAWA et al.			
				FILING DATE August 28, 2003			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
	1	JP A 11-263916 (with abstract and translation)	09/28/1999	Japan			
	2	JP A 2002-97375 (with abstract and translation)	04/02/2002	Japan			
	3	JP A 2002-105329 (with abstract and translation)	04/10/2002	Japan			
	4	JP A 11-34336 (with abstract and translation)	02/09/1999	Japan			
	5	JP A 2000-63726 (with abstract and translation)	02/29/2000	Japan			
	6	WO 97/32571	09/12/1997	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	7	Shaffer et al., "Fabrication and Characterization of Carbon Nanotube/Poly(vinyl alcohol) Composites", Advanced Materials, 1999, Vol 11, pp 937-941, Germany					
	8	Gong et al., "Surfactant-Assisted Processing of Carbon Nanotube/Polymer Composites", American Chemical Society, 2000, Vol 12, pp. 1049-1052					
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: August 28, 2003